

## CALIFORNIA HEALTH CARE PROVIDER PRIVACY NOTICE

Effective as of May 6, 2025

Ocular Therapeutix (the “Company,” “Ocular,” “we,” “us,” or “our”) is committed to protecting the personal information of its California-resident Health Care Providers (“HCP”). We are providing this California Health Care Provider Privacy Notice (the “Notice”) to inform you about the categories of personal information we collected during the prior twelve-month period, the purposes for which we use this information, and your rights under the California Consumer Privacy Act, as amended by the California Privacy Rights Act (collectively, the “CCPA”). This Notice applies only to Health Care Providers who are residents of the State of California and with whom we interact in a business-related capacity.

### I. WHAT PERSONAL INFORMATION WE COLLECT ABOUT YOU

We collect and maintain your personal information relating to our professional relationship. Depending on the nature of the services you provide, we may collect the following categories of personal information (as defined by the CCPA) from you, which may overlap:

- **Identifiers:** Name, postal address, email address, telephone number, mobile number, signature, date of birth, Social Security number, driver’s license number, passport number, National Provider Identifier (NPI), or other similar identifiers.
- **Personal Information Categories Listed in the California Customer Records Act (Cal. Civ. Code § 1798.80(e)):** Name, signature, Social Security number, physical characteristics, address, telephone number, passport number, driver’s license or state identification card number, insurance policy number, education or employment information, financial account numbers (such as your banking or other financial account information, and payment card information), medical information, or health insurance information.
- **Protected Classification Characteristics under California or Federal Law:** Age, race, national origin, citizenship, marital status, medical condition, physical or mental disability, sex and gender information, veteran or military status, or genetic information.
- **Biometric Information:** Capture of a limited number of data points of the fingerprint or the face from which an identifier template, such as a thumbprint, can be extracted. This category also may include health data that contains identifying information, such as your temperature, health symptoms, and other information collected during wellness screenings.
- **Geolocation Data:** GPS location data from Company-issued mobile devices and applications, personal mobile electronic devices, Company-owned vehicles, or internal badging and security systems.
- **Professional or Employment-Related Information:** Current or past job history, performance evaluations, income and wage information, job title, emergency contacts, dependents’ personal information, beneficiaries’ personal information, personal references, prescribing behavior, specialty, and hospital or clinic affiliations, or references related to your previous employment.
- **Non-Public Education Information:** Education records directly related to a student maintained by an educational institution or party acting on its behalf. Such records may include grades, transcripts, schedules, or student ID numbers.

- **Inferences Drawn from Other Personal Information:** Inferences drawn from the above information that may reflect your preferences, characteristics, predispositions, behavior, attitudes, or similar behavioral information.
- **Internet or Other Electronic Network Activity Information:** Activity on the Company's information and communications systems, such as browsing history, search history, intranet activity, email communications, social media postings, stored documents and emails, usernames, passwords, phone calls, call logs, voice mails, text messages, chat logs, app use, mobile browsing and search history, mobile email communications, or other information regarding your use of Company-issued devices.

**Audio, Electronic, Visual, or Similar Information:** Photographs, video recordings, or audio recordings.

## II. SOURCES FROM WHERE WE COLLECT YOUR PERSONAL INFORMATION

We collect your personal information directly from you, from publicly available databases and websites, professional organizations, conference organizers, third-party data providers, your company, or otherwise in the context of your professional relationship with Ocular.

We may also collect information about you from our service providers. We may also collect information on your emergency contacts from you directly or from service providers to whom you provide this information.

## III. THE PURPOSES FOR WHICH WE USE YOUR PERSONAL INFORMATION

We will use your personal information for the following purposes:

- **Our Professional Relationship:** To process honoraria or other payments, manage work assignments or consulting engagements, verify references and credentials, manage our financial obligations, and communicate with you, and to otherwise meet our contractual obligations to you, if you provide us with services.
- **Workforce Management:** To maintain personnel records and record retention requirements, to administer payroll and benefits, to perform background checks, to conduct performance evaluations, to evaluate promotions, to review engagement decisions, for tax reporting and filings, to manage disciplinary matters, grievances and terminations, to maintain an internal directory, to accommodate a disability or illness, and to process information as disclosed at the time we collect the information or as otherwise set forth in this Notice or in other documents made available to you.
- **Business Operations and Programs:** To manage our business, which includes managing contract and service administration, identifying and engaging scientific experts, organizing speaker programs, tracking payments and transfers of value for transparency reporting, product and service development, tax reporting and filings, maintaining business records and conducting audits, allocating company assets and resources, strategic planning, maintaining records relating to business activities, and operating and managing IT and communications systems and facilities.
- **Monitoring and Compliance:** To maintain internal recordkeeping and oversight of Ocular decisions and to audit, comply and monitor compliance with internal policies, industry codes, legal reporting of payments and other transfers of value to health care providers in accordance with financial disclosure transparency requirements, and applicable state and federal laws.
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- **Communications, Facilities and Emergencies:** To ensure business continuity and crisis management, to protect the health and safety of personnel and others, and to safeguard and maintain our property.
- **Security and Integrity:** To protect the security and integrity of our systems, networks, and data.

#### [OTHER POTENTIAL PURPOSES]

- To determine your potential involvement in future activities with Ocular and contact you in relation to these activities.
- To communicate with you when you participate in, or request to be considered to participate in Ocular events, clinical studies, or other programming.
- To process and report information pertaining to adverse events and product complaints.
- To comply with civil, criminal, judicial, or regulatory inquiries, investigations, subpoenas, or summons.

#### IV. SALE, SHARING, AND DISCLOSURE OF YOUR PERSONAL INFORMATION

We do not Sell or Share your personal information, as those terms are defined by the CCPA. We may disclose your personal information in the following circumstances:

- **Service Providers:** Companies that provide services to us, such as payroll processors, IT service providers, medical information vendors, transparency reporting vendors, and legal advisors.
- **Affiliates:** Our subsidiaries and other affiliated entities.
- **Government Authorities:** Law enforcement, regulatory agencies, and other government authorities as required by law, including for state and federal transparency reporting obligations.
- **Other Third Parties:** As necessary to protect our rights, property, or safety, or the rights, property, or safety of others.
- **When You Agree to Receive Information From Third Parties:** When you agree to receive information directly from third parties that require us to share your personal information. Please be advised that the third party's own privacy policy may apply to its use of your personal information.
- **Business Transfer:** To third parties in connection with the consummation or evaluation of a corporate merger, consolidation, restructuring, the sale of substantially all of Ocular's stock and/or assets, or other corporate change.

#### V. RETENTION OF YOUR PERSONAL INFORMATION

We may retain your personal information for as long as needed to carry out the purposes described herein or as otherwise required by applicable law or our document retention policy. Unless we are required or permitted by law to keep this information for a longer period of time, when this information is no longer necessary to carry out the purposes for which we process it, we will delete your personal information or keep it in a form that does not permit identifying you.

When determining the retention period, we take into account various criteria, such as the nature and length of your professional relationship with us, the impact on our ability to manage our business

operations and legal obligations if we delete personal information from or about you, and mandatory retention periods provided by law and the statute of limitations.

## **VI. YOUR CALIFORNIA PRIVACY RIGHTS**

As an HCP, you have the following rights under the CCPA, subject to various exceptions provided under the CCPA:

- **Right to Know:** You have the right to request information about the categories and specific pieces of personal information we have collected about you.
- **Right to Delete:** You have the right to request the deletion of personal information we have collected from you.
- **Right to Correct:** You have the right to request the correction of inaccurate personal information we maintain about you.
- **Right to Limit Use of Sensitive Personal Information:** You have the right to request that we limit the use of your sensitive personal information to that which is necessary for purposes set forth in this Notice.
- **Right to Non-Discrimination:** You have the right not to be discriminated against for exercising your privacy rights.

To exercise your rights under the CCPA, please contact us by calling 1-888-423-8006 or by email at [compliance@ocutx.com](mailto:compliance@ocutx.com). Please provide sufficient information to verify your identity and describe your request with sufficient detail to allow us to understand, evaluate, and respond to it.

## **VII. PROTECTING YOUR PERSONAL INFORMATION**

We are committed to protecting the security of your personal information. We implement reasonable security measures designed to protect your personal information from unauthorized access, use, disclosure, alteration, or destruction.

## **VIII. QUESTIONS**

For more information regarding our privacy practices, please email us at [compliance@ocutx.com](mailto:compliance@ocutx.com).

## **IX. CHANGES TO THIS NOTICE**

Ocular reserves the right to update this Notice at any time without prior notice. In the event that any changes are made, the revised Notice will be communicated to you and posted online. If you have questions about the Company's privacy policies and procedures, rights you may have concerning your personal information, or other questions concerning this Notice, please contact: Raakhee Kumar, VP, Legal, Chief Compliance Officer and Privacy Officer.